



**Program
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Syntax And Semantics

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Syntax And Semantics

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- **Programming language syntax:** how programs look, their form and structure
- Syntax is defined using a formal grammar
- **Programming language semantics:** what programs do, their behavior and meaning
- Semantics is harder to define – more on this later

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An English Grammar

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- A sentence $\langle S \rangle$ is a noun phrase $\langle NP \rangle$, a verb $\langle V \rangle$, and a noun phrase $\langle NP \rangle$.
- A noun phrase $\langle NP \rangle$ is an article $\langle A \rangle$ and a noun $\langle N \rangle$.
- A verb $\langle V \rangle$ is ...
- An article $\langle A \rangle$ is ...
- A noun $\langle N \rangle$ is ...

$$\langle S \rangle ::= \langle NP \rangle \langle V \rangle \langle NP \rangle$$
$$\langle NP \rangle ::= \langle A \rangle \langle N \rangle$$
$$\langle V \rangle ::= \text{loves} \mid \text{hates} \mid \text{eats}$$
$$\langle A \rangle ::= \text{a} \mid \text{the}$$
$$\langle N \rangle ::= \text{dog} \mid \text{cat} \mid \text{rat}$$

How The Grammar Works

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- The grammar is a set of rules that say how to build a tree – a *parse tree*
- $\langle S \rangle$ at the root of the tree
- The grammar's rules define how children can be added at any point in the tree
- For instance, $\langle S \rangle ::= \langle NP \rangle \langle V \rangle \langle NP \rangle$ defines the sequence of nodes $\langle NP \rangle$, $\langle V \rangle$, and $\langle NP \rangle$ as children of $\langle S \rangle$

Parse Derivation

Grammar

$\langle S \rangle ::= \langle NP \rangle \langle V \rangle \langle NP \rangle$

$\langle NP \rangle ::= \langle A \rangle \langle N \rangle$

$\langle V \rangle ::= \text{loves} \mid \text{hates} \mid \text{eats}$

$\langle A \rangle ::= \text{a} \mid \text{the}$

$\langle N \rangle ::= \text{dog} \mid \text{cat} \mid \text{rat}$

Example (Derive $\langle S \rangle = \text{the dog loves the cat}$)

$\langle S \rangle = \langle NP \rangle \quad \langle V \rangle \quad \langle NP \rangle$

$= \langle A \rangle \langle N \rangle \quad \langle V \rangle \quad \langle NP \rangle$

$= \langle A \rangle \langle N \rangle \quad \langle V \rangle \quad \langle A \rangle \langle N \rangle$

$= \langle A \rangle \langle N \rangle \text{ loves } \langle A \rangle \langle N \rangle$

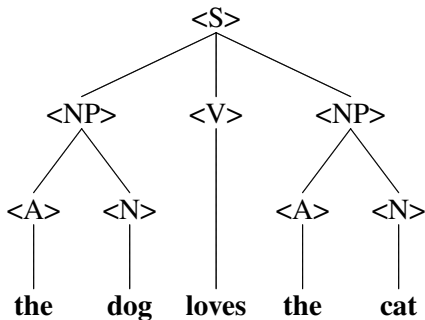
$= \text{the } \langle N \rangle \text{ loves } \langle A \rangle \langle N \rangle$

$= \text{the dog loves } \langle A \rangle \langle N \rangle$

$= \text{the dog loves the } \langle N \rangle$

$= \text{the dog loves the cat}$

Parse Tree: the dog loves the cat



Example

<S> = <NP> <V> <NP>
= <A> <N> loves <A> <N>
= the dog loves the cat

Exercise

Grammar

$\langle S \rangle ::= \langle NP \rangle \langle V \rangle \langle NP \rangle$

$\langle NP \rangle ::= \langle A \rangle \langle N \rangle$

$\langle V \rangle ::= \text{loves} \mid \text{hates} \mid \text{eats}$

$\langle A \rangle ::= \text{a} \mid \text{the}$

$\langle N \rangle ::= \text{dog} \mid \text{cat} \mid \text{rat}$

- Which of the following are valid $\langle S \rangle$?
 - the dog hates the dog
 - dog loves the cat
 - loves the dog the cat
- Draw a parse tree for:
 - a cat eats the rat
 - the dog loves cat



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- *Backus Naur Form* of grammar consists of four parts:
 - The set of *tokens*
 - The set of *non-terminal symbols*
 - The *start symbol*
 - The set of *productions*

BNF Explanation

- tokens: dog, cat, ...
- non-terminal symbols: $\langle V \rangle$, $\langle N \rangle$, ...
- start symbol: $\langle S \rangle$
- a production: $\langle NP \rangle ::= \langle A \rangle \langle N \rangle$

Example

$\langle S \rangle ::= \langle NP \rangle \langle V \rangle \langle NP \rangle$

$\langle NP \rangle ::= \langle A \rangle \langle N \rangle$

$\langle V \rangle ::= \text{loves} \mid \text{hates} \mid \text{eats}$

$\langle A \rangle ::= \text{a} \mid \text{the}$

$\langle N \rangle ::= \text{dog} \mid \text{cat} \mid \text{rat}$

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- The *tokens* are the smallest units of syntax
 - Strings of one or more characters of program text
 - They are atomic: not treated as being composed from smaller parts
- The *non-terminal symbols* stand for larger pieces of syntax
 - They are strings enclosed in angle brackets, as in <NP>
 - They are not strings that occur literally in program text
 - The grammar says how they can be expanded into strings of tokens
- The *start symbol* is a single non-terminal that forms the root of every parse tree for the grammar

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- The *productions* are the tree-building rules
 - Each one has a left-hand side, the separator $::=$, and a right-hand side
 - The left-hand side is a single non-terminal
 - The right-hand side is a sequence of one or more things, each of which can be either a token or a non-terminal
 - A production gives one possible way of building a parse tree: it permits the non-terminal symbol on the left-hand side to have the symbols on the right-hand side, in order, as its children in a parse tree

Alternative Productions

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- When there is more than one production with the same left-hand side, an abbreviated form can be used
- In BNF grammar:
 - Gives the left-hand side (symbol),
 - the separator $::=$,
 - and then a list of possible right-hand sides separated by the special symbol |

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Example (Production)

$$\langle \text{exp} \rangle ::= \langle \text{exp} \rangle + \langle \text{exp} \rangle \mid (\langle \text{exp} \rangle) \mid a \mid b \mid c$$

Example (Equivalent Productions)

$$\langle \text{exp} \rangle ::= \langle \text{exp} \rangle + \langle \text{exp} \rangle$$
$$\langle \text{exp} \rangle ::= (\langle \text{exp} \rangle)$$
$$\langle \text{exp} \rangle ::= a$$
$$\langle \text{exp} \rangle ::= b$$
$$\langle \text{exp} \rangle ::= c$$

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- The special non-terminal `<empty>` is for places where you want the grammar to generate nothing
- For example, this grammar defines a typical if-then construct with an optional else part:

Example

```
<if-stmt> ::= if <expr> then <stmt> <else-part>  
<else-part> ::= else <stmt> | <empty>
```


Grammar Parse Derivation

- 1 Begin with a start symbol
- 2 Choose a production P with non-terminal N on its left-hand side
- 3 Replace N with the right-hand side of P
- 4 Choose a non-terminal N in resulting string
- 5 If non-terminals remain, GOTO step 2

Example

```
<S> = <NP> <V> <NP>
      = <A> <N> <V> <NP>
      = <A> <N> <V> <A> <N>
      = <A> <N> eats <A> <N>
      = a <N> eats <A> <N>
      = a cat eats <A> <N>
      = a cat eats the <N>
      = a cat eats the rat
```

Parse Trees

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- To build a parse tree, put the start symbol at the root
- Add children to every non-terminal, following any one of the productions for that non-terminal in the grammar
- Done when all the leaves are tokens
- Read off leaves from left to right – that is the string derived by the tree

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Example (Grammar)

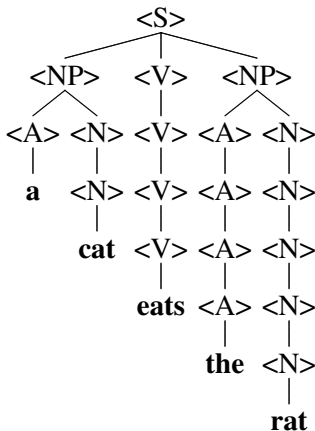
$\langle S \rangle ::= \langle NP \rangle \langle V \rangle \langle NP \rangle$

$\langle NP \rangle ::= \langle A \rangle \langle N \rangle$

$\langle V \rangle ::= \text{loves} \mid \text{hates} \mid \text{eats}$

$\langle A \rangle ::= \text{a} \mid \text{the}$

$\langle N \rangle ::= \text{dog} \mid \text{cat} \mid \text{rat}$



A Programming Language Grammar

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- An expression can be:
 - the sum of two expressions,
 - or the product of two expressions,
 - or a parenthesized subexpression,
 - or a,
 - or b,
 - or c

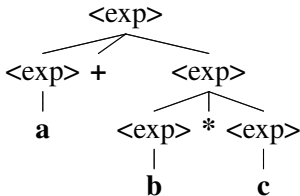
Example

```
<exp> ::= <exp> + <exp> | <exp> * <exp> | ( <exp> ) | a | b | c
```

Parse and Parse Tree: $a+b*c$

Example

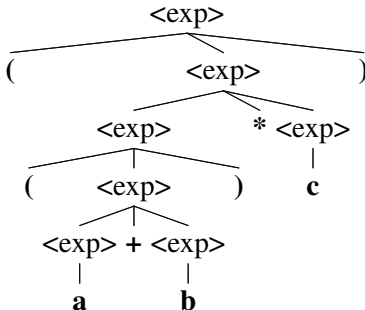
```
<exp> = <exp> + <exp>
      = a   + <exp>
      = a   + <exp> * <exp>
      = a   + b   * c
```



Parse and Parse Tree: $((a+b)*c)$

Example

```
<exp> = (                <exp>                )
      = (          <exp>          * <exp> )
      = ((          <exp>          ) * <exp> )
      = ((          <exp>          ) *   c   )
      = (( <exp> + <exp> ) *   c   )
      = ((   a   +   b   ) *   c   )
```



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Grammar

$\langle \text{exp} \rangle ::= \langle \text{exp} \rangle + \langle \text{exp} \rangle \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle \mid (\langle \text{exp} \rangle) \mid a \mid b \mid c$

- Give the parse tree for each of these strings:
 - $a+b$
 - $a*b+c$
 - $(a+b)*c$

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- What we just did is *parsing*: trying to find a parse tree for a given string
- That's what compilers do for every program you try to compile: try to build a parse tree for your program, using the grammar for whatever language you used
- Grammars are designed to be non-ambiguous: for each string, there is at most one valid parse tree
- There are efficient parsing algorithms for this specific purpose

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- We use *grammars* to define the syntax of programming languages
- The language defined by a grammar is the set of all strings that can be derived by some parse tree for the grammar
- As in the previous example, that set is often infinite (though grammars are finite)
- Constructing grammars is a little like programming...



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- The most important trick: divide and conquer
- Example: the language of Java declarations:
 - a type name,
 - a list of variables separated by commas,
 - and a semicolon
- Each variable can optionally be followed by an initializer

Example (Java declarations)

```
float a;  
boolean a,b,c;  
int a=1, b, c=1+2;
```

Java Example

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Parsing `int a=1, b, c=1+2;`

- Defining a declaration is easy if we postpone defining the comma-separated list of variables with initializers:
 - `<var-dec> ::= <type-name> <declarator-list> ;`
- Primitive type names are easy enough too:
 - `<type-name> ::= boolean | byte | short | int | long | char | float | double`
- (Note: skipping constructed types: class names, interface names, and array types)

Example, Continued

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- That leaves the comma-separated list of variables with initializers
- Again, postpone defining variables with initializers, and just do the comma-separated list part:
 - `<var-dec> ::= <type-name> <declarator-list> ;`
 - `<declarator-list> ::= <declarator> |
<declarator> , <declarator-list>`

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- That leaves the variables with initializers:
 - `<var-dec> ::= <type-name> <declarator-list> ;`
 - `<declarator-list> ::= <declarator> | <declarator> , <declarator-list>`
 - `<declarator> ::= <variable-name> | <variable-name> = <expr>`
- For full Java, we would need to allow pairs of square brackets after the variable name
- There is also a syntax for array initializers
- And definitions for `<variable-name>` and `<expr>`

Grammar Construction Example

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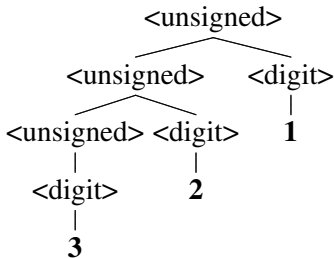
Exercise

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- 1 Construct a grammar in BNF for each language:
- 2 `<digit>` as a character 0-9.
 - `<digit> ::= 0|1|2|3|4|5|6|7|8|9`
- 3 `<unsigned>` as the set of all strings with one or more `<digit>`. Note the left-recursion.
 - `<unsigned> ::= <digit> | <unsigned> <digit>`
- 4 `<signed>` as the set of all strings starting with `-` or `+` and followed by an `<unsigned>`.
 - `<signed> ::= +<unsigned> | -<unsigned>`

Parse 321 as <unsigned>



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- Construct production rules in BNF for each specification:
- `<integer>` as any strings of `<signed>` or `<unsigned>`.
- `<decimal>` as any strings of `<integer>` followed by a `'.'` and optionally followed by an `<unsigned>`.
- `<2or3digits>` as any strings of two or three `<digit>`.

Example

```
<digit> ::= 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9  
<unsigned> ::= <digit> | <unsigned> <digit>  
<signed> ::= +<unsigned> | -<unsigned>
```

Exercise

- Construct production rules in BNF for each specification:
- $\langle 2's \rangle$ as any strings of one or more 2's.
- $\langle 1+2's \rangle$ as any strings beginning with '1' and followed by any number of 2's.
- $\langle 2's+1 \rangle$ as any strings beginning with any number of 2's and followed by a '1'.
- $\langle AdigitBs \rangle$ as any strings beginning with 'A' and optionally followed by any number of $\langle digit \rangle$ or 'B'.

Example

```
 $\langle digit \rangle ::= 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$   
 $\langle unsigned \rangle ::= \langle digit \rangle \mid \langle unsigned \rangle \langle digit \rangle$   
 $\langle signed \rangle ::= +\langle unsigned \rangle \mid -\langle unsigned \rangle$ 
```



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Where Do Tokens
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- Tokens are pieces of program text that we think of as atomic and holding specific meaning
- Identifiers (**count**), keywords (**if**), operators (**==**), constants (**123.4**), etc.
- Programs stored in files are just sequences of characters
- How is such a file divided into a sequence of tokens?

Lexical Structure And Phrase Structure

- *Phrase* structure: how a program is built from a sequence of tokens
- *Lexical* structure: how tokens are built from a sequence of characters

Example (Phrase Structure)

```
<if-stmt> ::= if <expr> then <stmt> <else-part>  
<else-part> ::= else <stmt> | <empty>
```

Example (Lexical Structure)

```
<digit> ::= 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9  
<unsigned> ::= <digit> | <unsigned> <digit>
```

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One Grammar For Both

- You could do it all with one grammar by using characters as the only tokens
- Not done in practice: things like white space and comments would make the grammar too messy to be readable

Example

```
<if-stmt> ::= if <white-space> <expr> <white-space>
              then <white-space>
                  <stmt> <white-space> <else-part>
<else-part> ::= else <white-space> <stmt> | <empty>
```

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Separate Grammars

- Usually there are two separate grammars
 - One says how to construct a sequence of tokens from a file of characters
 - One says how to construct a parse tree from a sequence of tokens

Example

```
<prog-file> ::= <end-of-file> | <element> <prog-file>
<element> ::= <token> | <one-white-space> | <comment>
<one-white-space> ::= <space> | <tab> | <end-of-line>
<token> ::= <identifier> | <operator> | <constant> | ...
```

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- The *scanner* reads the input file and divides it into tokens according to the lexical grammar
- The scanner discards white space and comments
- The *parser* constructs a parse tree (or at least goes through the motions – more about this later) from the token stream according to the language grammar

Exercise

Lexical Grammar

```
<space> ::=
<digit> ::= 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
<unsigned> ::= <digit> | <unsigned> <digit>
<signed> ::= +<unsigned> | -<unsigned>
<integer> ::= <signed> | <unsigned>
<decimal> ::= <integer>.<unsigned> | <integer> .
<operator> ::= + | == | =
<identifier> ::= x | y
<constant> ::= <integer> | <decimal>
<keyword> ::= if | then | endif
```

What is the *scanner* output for `if x == 3.14 then y = x + y
endif` ?

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- Early languages sometimes did not separate lexical structure from phrase structure
 - Early Fortran and Algol dialects allowed spaces anywhere, even in the middle of a keyword
 - Other languages like PL/I allow keywords to be used as identifiers
- This makes them harder to scan and parse
- It also reduces readability

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- Some languages have a *fixed-format* lexical structure – column positions are significant
 - One statement per line (i.e. per card)
 - First few columns for statement label
- Early dialects of Fortran, Cobol, and Basic
- Almost all modern languages are *free-format*: column positions are ignored



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Structure

**Grammar
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- Some use \rightarrow or $=$ instead of $::=$
- Some leave out the angle brackets and use a distinct typeface for tokens
- Some allow single quotes around tokens, for example to distinguish `'|'` as a token from `|` as a meta-symbol

EBNF Variations

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- Additional syntax to simplify some grammar chores:
 - $\{x\}$ or x^* to mean zero or more repetitions of x
 - x^+ to mean one or more repetitions of x
 - $[x]$ to mean x is optional (i.e. $x \mid \langle \text{empty} \rangle$)
 - $()$ for grouping
 - $|$ anywhere to mean a choice among alternatives
 - Quotes around tokens, if necessary, to distinguish from all these meta-symbols

EBNF Examples

Example

```
<if-stmt> ::= if <expr> then <stmt> [else <stmt>]  
<stmt-list> ::= {<stmt> ;}  
<thing-list> ::= { (<stmt> | <declaration>) ;}  
<unsigned> ::= <digit>+  
<signed> ::= (+|-)<unsigned>
```

- Anything that extends BNF this way is called an Extended BNF: EBNF

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Formal Context-Free Grammars

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- In the study of formal languages and automata, grammars are expressed in yet another notation
- These are called context-free grammars because children of a node only depend on that node's non-terminal symbol, not on the context of neighboring nodes in the tree.
- Context sensitive language elements include scope but is not generally part of a grammar.
- Other kinds of grammars exist: *regular* grammars (weaker), *context-sensitive* grammars (stronger), etc.

$S \rightarrow aSb \mid X$ S is a string of symbols $a S b$ or X

$X \rightarrow cX \mid \epsilon$ X is a string of symbols $c X$ or empty

Example

Example

Java Language Specification

WhileStatement:

```
while ( Expression ) Statement
```

DoStatement:

```
do Statement while ( Expression ) ;
```

ForStatement:

```
for ( ForInitopt ; Expressionopt ; ForUpdateopt )  
    Statement
```

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Audiences

- We use grammars to define programming language syntax, both lexical structure and phrase structure
- Connection between theory and practice
- Two grammars, two compiler passes
- *Parser-generator* programs can write code for those two passes automatically from grammars

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- Multiple audiences for a grammar
 - Novices want to find out what legal programs look like
 - Experts – advanced users and language system implementers – want an exact, detailed definition
 - Tools – parser and scanner generators want an exact, detailed definition in a particular, machine-readable form