

A Binding Question Functional Meets Imperative

Activation records
Static

Allocation

Stacks of ARs

Nested functions

Functions as parameters

Long-lived AR

Variables in Memory



A Binding Question

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A Binding Question

- Variables are bound (dynamically) to values
- Those values must be stored somewhere
- Therefore, variables must somehow be bound to memory locations
- But how?



A Binding Question

Functional Meets Imperative

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Functional Meets Imperative

- Imperative languages expose the concept of memory locations: a := 0
 - Store a zero in a's memory location
- Functional languages hide it: **let** $\mathbf{a} = \mathbf{0}$
 - Bind **a** to the value zero
- But both need to connect variables to values represented in memory
- So both face the same binding question



Activation records

Activation-Specifi

Block Activations

Other Lifetimes F

Scope And Lifetin

Other Lifetimes I

Activation Reco

Block Activation

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Activation records



Activation records

Function Activations

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Differ

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Function Activations

- The lifetime of one execution of a function, from call to corresponding return, is called an *activation* of the function
- When each activation has its own binding of a variable to a memory locations, it is an *activation-specific* variable
- (Also called *dynamic* or *automatic*)



Activation records

Activation-Specific

Variables

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Activation-Specific Variables

In most modern languages, activation-specific variables are the most common kind:

Example

```
let rec fact n =
  if n = 0 then 1
  else n * fact (n-1)
```

```
int fact(int n) {
 if (n==0) return 1;
 else
    return n * fact(n-1):
```



Activation records

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Differ

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Block Activations

- For block constructs that contain code, we can speak of an activation of the *block*
- The lifetime of one execution of the block
- A variable might be specific to an activation of a particular block within a function:

Example

```
let rec fact n =
  if n=0 then 1
  else
    let b = fact (n-1)
    in
    n*b
```

```
int fact(int n) {
   if (n==0) return 1;
   else
   {
     int b = fact(n-1);
     return n*b;
   }
}
```



Activation records

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Other Lifetimes For Variables

- Most imperative languages have a way to declare a variable that is bound to a single memory location for the entire runtime
- Obvious binding solution: static allocation (classically, the loader allocates these)

```
int count = 0; // global scope
int nextcount() {
    return ++count;
}
```



Activation records

Activation-Specific Variables Block Activations

Scope And Lifetime Differ

Variables
Activation Record

Block Activation Records

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Functions as

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Scope And Lifetime Differ

- In most modern languages, variables with local *scope* have activation-specific *lifetimes*, at least by default
- However, these two aspects can be separated, as in C:

```
int nextcount() {
    static int count = 0;  // local scope
    count = count + 1;
    return count;
}
```



Other Lifetimes For Variables

Variables in Memory

Activation records

Activation-Specif Variables

Other Lifetimes Fo Variables

Scope And Lifetii

Other Lifetimes For

Activation Recor Block Activation

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Functions as parameters

- Object-oriented languages use variables whose lifetimes are associated with object lifetimes
- Some languages have variables whose values are persistent: they last across multiple executions of the program
- Will focus on activation-specific variables



Activation Records

Variables in Memory

Activation records

Variables

Block Activations

Scope And Lifetin

Differ Other Lifetimes Fo

Activation Records

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Functions as

Functions a parameters

- Language implementations usually allocate all the activation-specific variables of a function together as an *activation record*
- The activation record also contains other activation-specific data, such as
 - Return address: where to go in the program when this activation returns
 - Link to caller's activation record: more about this soon



Block Activation Records

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Function Activation

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Scope And Lifetin Differ

Variables

Block Activation

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Functions as parameters

- When a block is entered, space must be found for the local variables of that block
- Various possibilities:
 - Preallocate (static) in the containing function's activation record
 - Extend the function's activation record when the block is entered (and revert when exited)
 - Allocate separate block activation records
- Our illustrations will show the static option



Activation records

Static Allocation

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Fortran Example

Reference passi

danger

Exercise

Static Allocatic

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Static Allocation



Static Allocation

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Static Allocation

Value and Refere Parameter Passin Reference passin

danger

Exercise

Static Allocation Drawbacks

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■ The simplest approach: allocate one activation record for every function, statically

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- Older dialects of Fortran and Cobol used this system
- Simple and fast



Fortran Example

Variables in Memory

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Allocation
Static Allocation

Fortran Example

Value and Refer

Reference passi

danger

Exercise

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Example

FUNCTION AVG (ARR, N)
DIMENSION ARR(N)
SUM = 0.0
DO 100 I = 1, N
SUM = SUM + ARR(I)
100 CONTINUE
AVG = SUM / FLOAT(N)
RETURN

N Address		
ARR Address		
return Address		
I		
SUM		
AVG		

END



Activation records

Static Allocation

Allocatio

Fortran Exampl

Value and Reference Parameter Passing

Reference pass

danger

Exercise

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Value and Reference Parameter Passing

```
x = 2;
y = 3;
switch(x, y);
...
void switch(float &a, float &b) {
   float t = a;
   a = b;
   b = t;
}
```

	x after	y after
pass by reference	3	2
pass by value	2	3



Activation records

Static Allocation

Reference passing

danger

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Reference passing danger

- Passing literals by reference must be prevented
- Question: How can this problem be prevented?

Example

```
x = 2 + 2;
three(2);
x = 2 + 2;
void three(int &n) {
    n=3;
}
```

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Activation records

Static Allocation

Static Allocati

Fortran Example

Parameter Passin Reference passin

Exercise

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Exercise

Example (C++ Result?)

```
void SUB(int &K, float &X) {
    K = 1:
    X = 20;
void main(void) {
    float A[2];
    int I;
    I = 0;
    A[0] = 10;
    A[1] = 11;
    SUB(I, A[I]);
    cout << A[0] << " " << A[1] << "\n";
```

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Static Allocation

Variables in Memory

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Static Allocation

Fortran Example Value and Refere Parameter Passin

Parameter Passing Reference passing danger

Exercis

Static Allocation

Stacks of ARs

Nested functions

Functions as parameters

- Simple, only one activation record per procedure.
- Memory allocation done at load time.
- Does not allow recursion. Why?
- Does not allow for nested scope. Why?
- Faster than dynamic allocation.



Drawbacks to Static AR Allocation

Variables in Memory

Activation records

Static Allocation

Fortran Example Value and Reference Parameter Passing Reference passing danger

Static Alloca

Drawbacks

Stacks of ARs

Nested functions

Functions as parameters

- Each function has one activation record
- There can be only one activation alive at a time
- Modern languages (including modern dialects of Cobol and Fortran) do not obey this restriction:
 - Recursion
 - Multithreading
 - Nested scopes



Activation records

Static Allocation

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Stacks Of Activation Records

Variables in Memory

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Stacks of ARs

Stacks Of Activation

Current Activation

Exercise

Nested functions

Functions as parameters

- To support recursion, we need to allocate a new activation record for *each* activation
- Dynamic allocation: activation record allocated when function is called
- For many languages, like C, it can be deallocated when the function returns
- A stack of activation records: *stack frames* pushed on call, popped on return



Current Activation Record

Variables in Memory

Activation records

Static Allocation

Stacks of ARs
Stacks Of Activation

Current Activation Record

C Example Exercise

Nested functions

Functions as parameters

- Static: location of activation record was determined by compile time
- Dynamic: location of the *current* activation record is not known until runtime
- A function must know how to find the address of its current activation record
- Often, a special machine register (ebp on Intel) holds *current* activation record address



Activation records

Static Allocation

Stacks of ARs
Stacks Of Activation
Records

Current Activation Record

C Example

Exercise

Nested functions

Functions as parameters

Long-lived AR

C Example

We are evaluating fact(3). This shows the contents of memory just before the recursive call that creates a second activation.

Example

```
int fact(int n) {
  int result;
  if (n<2) result = 1;
  else result = n * fact(n-1);
  return result;
}</pre>
```

current activation record

previous activation record

result: ?



Second call

Variables in Memory

Activation records

Static Allocation

Stacks of ARs

Stacks Of Activation Records Current Activation

C Example

Nested functions

Functions as parameters

Long-lived AR

curre nt activation record This shows the contents of n: 2 n: 3 memory just before return address return address the third activation. previous previous activation record activation record result: ? result: ?

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Third call

Variables in Memory

Activation records

Static Allocation

Stacks of ARs

Stacks Of Activatio Records

Current Activation Record

C Example

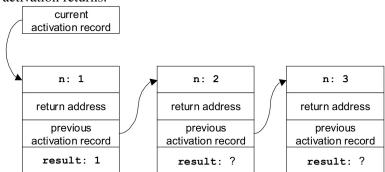
C Example

Nested functions

Functions as parameters

Long-lived AR

This shows the contents of memory just before the third activation returns.





Activation records

Static Allocation

Stacks of ARs

Stacks Of Activatio Records

Record

C Example

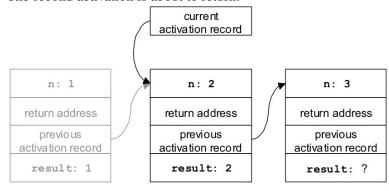
Nested functions

Functions as parameters

Long-lived AR

Returning from second call

The second activation is about to return.



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Activation records

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Stacks of ARs

C Example

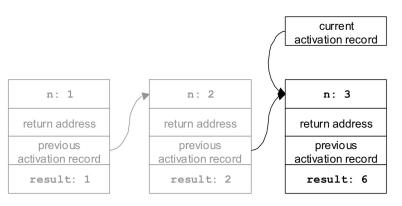
Nested

functions Functions as

parameters Long-lived AR

Returning from first call

The first activation is about to return with the result fact(3) = 6.



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Activation records

Static Allocation

Stacks of ARs

Stacks Of Activa

Current Activation

Record

Exercise

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Exercise

Diagram the stack to deepest call:

```
int power (int x, int e) {
   if (e == 0) return 1;
   else return x * power(x, e-1);
}

power (3, 2);
```



Activation records

Static Allocation

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Activation records

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Nested functions

Handling Nesting Functions

Nested Scopes
The Problem
Nesting Link

Setting The Nesting Link Multiple Levels Of

Static Nesting Static Nesting Definitions

Functions as parameters

Long-lived AR

Handling Nesting Functions

- What we just saw is adequate for many languages, including C
- But not for languages that allow:
 - Function definitions can be nested inside other function definitions
 - Inner functions that can refer to local variables of the outer functions (under the usual block scoping rule)
- Like F#, Scala, JavaScript, Pascal, Java, etc.



C++ Nested Scope

Variables in Memory

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functions
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Setting The Nestin

Multiple Levels (

Static Nesting Definitions

Other Solution

Functions as parameters

Long-lived AR

```
Example
```

a:

```
a x: 5
dynamic link
b y: 8
dynamic link
record
c z: 17
dynamic link
```



F# Nested Scope

Variables in Memory

Activation records

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Handling Nesting Functions Nested Scopes

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Nesting Link

Multiple Levels O

Nesting Static Nacting

Static Nesting Definitions Other Solutions

Functions as

Long-lived AR

pivot on the last line refers to a variable outside the current scope.

```
let rec quicksort L1 = match L1 with
    [] -> []
  | pivot::rest ->
    let rec split L2 =
      match L2 with
        | [] -> ([], [])
        | x::xs ->
          let (below, above) = split xs
          in
          if x<pivot then (x::below, above)
          else (below, x::above)
    in
    let (below, above) = split rest
    in
    quicksort below@[pivot]@(quicksort above)
```



The Problem

Variables in Memory

Activation records

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Nested functions

The Problem

Functions as parameters

- How can an activation of the inner function (split) find the activation record of the outer function (quicksort)?
- It isn't necessarily the previous activation record, since the caller of the inner function may be another inner function
- Or it may call itself recursively, as **split** does...



Activation records

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Handling Nesting

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Nesting Link Setting The Nestir

Multiple Levels Of

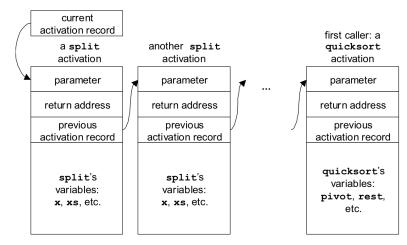
Nesting

Definitions

Functions as

Long-lived AR

Dynamic link points to caller's activation record





Variables in

Memory Activation records

Static Allocation

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Nested functions

Nesting Link

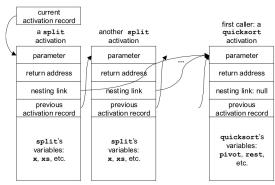
Functions as

parameters

Long-lived AR

Nesting Link

- An inner function needs to be able to find the address of the most recent activation for the outer function
- We can keep this nesting link in the activation record





Variables in

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Nested

functions

Nested Scope

The Problem

Setting The Nesting

Multiple Levels C Nesting

Static Nesting Definitions

Functions as parameters

Long-lived AR

Setting The Nesting Link

- Easy if there is only one level of nesting:
 - Calling outer function: set to null
 - Calling from outer to inner: set nesting link same as caller's activation record
 - Calling from inner to inner: set nesting link same as caller's nesting link
- More complicated if there are multiple levels of nesting



Activation records

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Nested Scopes The Problem

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Static Nesting Definitions

Other Solution

Functions as parameters

Long-lived AR

Multiple Levels Of Nesting

- References at the same level (**f1** to **v1**, **f2** to **v2**, **f3** to **v3**) use current activation record
- References n nesting levels away chain back through n nesting links
- *Static Link* Points to activation record of *enclosing block*.
- *Dynamic Link* Points to activation record of *caller*.

```
function f1
variable v1

function f2
variable v2

function f3
variable v3
```



Static Nesting

Variables in Memory

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Static Nesting

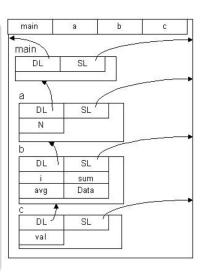
Static Nesting Definitions

Other Solution

Functions as parameters

Long-lived AR

```
Example
Void a()
    int N;
    N = 1;
    b(19.3);
Void b(float sum) {
    int i;
    float avg;
    float Data[2];
    N = 2;
    c(5.8);
Void c (float val) {
    cout << val:
Void main() {
    a();
```





Static Nesting

Variables in Memory

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Handling Nes

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Nesting Link

Setting The Nesti Link

Nesting

Static Nesting

Other Solution

Functions as parameters

Long-lived AR

```
Example
```

```
void main()
  void a()
     int N;
     void b(float sum)
     { int i;
       float avg;
       float Data[2];
       void c(float val)
       { cout << sum;
         cout << N:
         a();
       N = 2:
       c(5.8);
     N = 1;
     b(19.3);
  a();
```

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```
main
main
              DL
                         SL
     a
 a
      DL
                SL
      M
                 b
   b
       DL
                  SL
                 sum
                 Data
       ava
         DI
                    SI
         val
```



Activation records

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Functions
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The Problem
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Setting The Nesti

Multiple Levels (Nesting

Static Nesting Definitions

Other Solutio

Functions as parameters

Long-lived AR

Static Nesting Definitions

- Activation record Contains local variables, parameters, links, etc.
- **ep** Environment pointer to current activation record.
- ip Instruction pointer to the current instruction.
- **Dynamic link** Points to the calling function's activation record.
- Static link Points to the enclosing environment's activation record. Represents the non-local data accessible to the function.
- **Static chain** The static links from one enclosing environment to another.
- SNL (Static Nesting Level) The number of enclosing environments where a symbol is defined or used.
- SD (Static Distance) Difference between the SNL of definition and SNL of use, more intuitively, the number of static links in the static chain. SD to local data is 0, SD to nearest enclosing function is 1, etc.
- Symbol table In statically nested environments, table recording symbol name, data type, SNL, and offset within the activation record. Used at compile time to generate code to access data bound to symbol.



Activation records

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Nested functions

Handling Nest Functions

Nested Scopes The Problem

Nesting Link Setting The Nesting

Multiple Levels (Nesting Static Nesting

Other Solutions

Functions as parameters

Long-lived AR

Other Solutions

- The problem: references from inner functions to variables in outer ones
 - Nesting links in activation records: as shown
 - Displays: nesting links not in the activation records, but collected in a single static array
 - Lambda lifting: problem references replaced by references to new, hidden parameters



Activation records

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Functions As

Parameters

E# Evample

Nesting Links Again

F# Example

Long-lived AR

Functions as parameters

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Functions As Parameters

Variables in Memory

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Nested functions

Functions as parameters

Functions As Parameters

F# Example
Nesting Links Again
Not Just For Parameter
F# Example

Long-lived AR

- When you pass a function as a parameter, what really gets passed?
- Code must be part of it: source code, compiled code, pointer to code, or implementation in some other form
- For some languages, something more is required



Activation records

Static Allocation

Stacks of ARs

Nested functions

Functions as

parameters Functions As

Parameters Parameters

Exercise

Nesting Links Again

Not Just For Parameter

Long-lived AR

Exercise

Trace lines executed. What is the output?

```
Example (C++)
void p(int x) {
  cout << "p " << 2*x;
}
void t(int x) {
  cout << "t " << x*x;
void q(void fp(int), int x) {
  fp(x);
void main(void) {
  q(p, -4);
  q(t, -5);
```

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Activation records

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Functions as parameters Functions As Parameters

Exercise

Nesting Links Agai Not Just For Param F# Example

Long-lived AR

C++ Example

Without nested environments, only the function address is passed as a parameter.

Table: Memory Layout

	Memory	Address
		426
AR(p)	-4	425 PAR[1]
		424 IP
	419	423 DL
	&p=1	422 PAR[1]
AR(q)	-4	421 PAR[2]
	9	420 IP
	417	419 DL
AR(main)	12	418 IP
	OS	417 DL



Activation

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Functions As Parameters

F# Example

Nesting Links As Not Just For Para F# Example

Long-lived AR

F# Example

Example

```
let rec map f L =
  match L with
    | [] -> []
    | h::t -> f h::(map f t)
let addXToAll x theList =
  let addX y = y + x
  in
  map addX theList
```

- This function adds **x** to each element of **theList**.
- Notice: addXToAll calls map, map calls addX, and addX refers to a variable x in addXToAll's activation record



Nesting Links Again

Variables in Memory

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Nesting Links Again

Long-lived AR

- When map calls addX, what nesting link will addX be given?
 - Not map's activation record: addX is not nested inside map
 - Not map's nesting link: map is not nested inside anything
- To make this work, the parameter **addX** passed to **map** must include the nesting link to use when addX is called

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Activation records

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Functions as parameters

Functions As Parameters

F# Example Nesting Link

Not Just For Parameters

Long-lived AR

Not Just For Parameters

- Many languages allow functions to be passed as parameters
- Functional languages allow many more kinds of operations on function-values:
 - passed as parameters
 - returned from functions
 - constructed by expressions
 - etc.
- Function-values include both code to call, and nesting link to use when calling it



Variables in

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F# Example

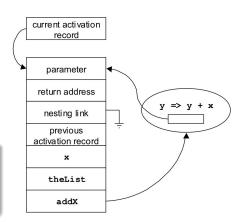
Long-lived AR

F# Example

This shows the contents of memory just before the call to **map**. The variable addX is bound to a function-value including code and nesting link.

Example

let addXToAll x theList = let addX y = y + xin map addX theList



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Activation records
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One More Complication

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The Solution

Long-lived AR



Activation records

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One More Complication

The Problem

Java Examp

One More Complication

What happens if a function value is used after the function that created it has returned?

Example

```
let funToAddX x =
  let addX y = y + x
  in
  addX;;
let test =
  let f = funToAddX 3
  in
  f 5;;
```



Variables in

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One More

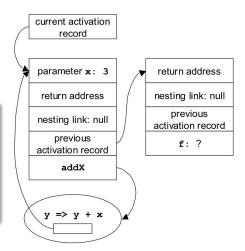
Complication

One More Complication

This shows the contents of memory just before funToAddX returns.

Example

```
let funToAddX x =
  let addX y = y + x
  in
  addX;;
let test =
  let f = funToAddX 3
  in
  f 5;;
```



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Activation records

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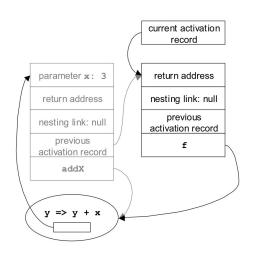
One More

Complication

One More Complication

After funToAddX returns, \mathbf{f} is the bound to the new function-value.

- **test** calls **f** which is y => y + x
- \blacksquare To access x=3 in test, must link to activation record for funToAddX that is already finished
- Fails if the language system deallocated that activation record when funToAddX returned



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The Problem

Variables in Memory

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One More
Complication

The Problem

The Solution

- When **test** calls **f**, the function will use its nesting link to access x
- That is a link to an activation record for an activation that is finished
- This will fail if the language system deallocated that activation record when the function returned



Activation records

Static Allocation

Stacks of ARs

Nested functions

Functions as parameters

Long-lived AR
One More

The Problem

Java Example
The Solution

Java Example

What is the output?

Example

```
public class Example {
  public static void main(String a[]) {
    int TI[] = ThreeInts();
    for (int i=0; i<3; i++)
        System.out.print(TI[i]);
  }
  public static int[] ThreeInts() {
    int ti[] = \{10,11,12\};
    for (int i=0; i<3; i++)
        System.out.print(ti[i]);
    return ti;
```

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Variables in

Memory Activation records

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One More Complication

Java Exan

The Solution

The Solution

- For F#, and other languages that have this problem, activation records cannot always be allocated and deallocated in stack order
- Even when a function returns, there may be links to its activation record that will be used; it can't be deallocated if it is reachable
- Garbage collection: coming soon!



Activation records

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Complication

The Problem Java Example The Solution

Conclusion

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- The more sophisticated the language, the harder it is to bind activation-specific variables to memory locations
- Static allocation: works for languages that permit only one activation at a time (like early dialects of Fortran and Cobol)
- Simple stack allocation: works for languages that do not allow nested functions (like C)
- Nesting links (or some such trick): required for languages that allow nested functions (like F#, Ada and Pascal); function values must include both code and nesting link
- Some languages (like F#) permit references to activation records for activations that are finished, so activation records cannot be deallocated on return